

Algebraic Schemes and Algebraic Spaces

In this course, we have attached an affine algebraic variety to any algebra finitely generated over a field k . For many reasons, for example, in order to be able to study the reduction of varieties to characteristic $p \neq 0$, Grothendieck realized that it is important to attach a geometric object to *every* commutative ring. Unfortunately, $A \mapsto \text{spm } A$ is not functorial in this generality: if $\varphi: A \rightarrow B$ is a homomorphism of rings, then $\varphi^{-1}(\mathfrak{m})$ for \mathfrak{m} maximal need not be maximal — consider for example the inclusion $\mathbb{Z} \hookrightarrow \mathbb{Q}$. Thus he was forced to replace $\text{spm}(A)$ with $\text{spec}(A)$, the set of all prime ideals in A . He then attaches an *affine scheme* $\text{Spec}(A)$ to each ring A , and defines a *scheme* to be a locally ringed space that admits an open covering by affine schemes.

There is a natural functor $V \mapsto V^*$ from the category of algebraic spaces over k to the category of schemes of finite-type over k , which is an equivalence of categories. The algebraic varieties correspond to geometrically reduced schemes. To construct V^* from V , one only has to add one point p_Z for each irreducible closed subvariety Z of V of dimension > 0 ; in other words, V^* is the set of irreducible closed subsets of V (and V is the subset of V^* of zero-dimensional irreducible closed subsets of V , i.e., points). For any open subset U of V , let U^* be the subset of V^* containing the points of U together with the points p_Z such that $U \cap Z$ is nonempty. Thus, $U \mapsto U^*$ is a bijection from the set of open subsets of V to the set of open subsets of V^* . Moreover, $\Gamma(U^*, \mathcal{O}_{V^*}) = \Gamma(U, \mathcal{O}_V)$ for each open subset U of V . Therefore the topologies and sheaves on V and V^* are the same — only the underlying sets differ. For a closed irreducible subset Z of V , the local ring $\mathcal{O}_{V^*, p_Z} = \lim_{\substack{\longrightarrow \\ U \cap Z \neq \emptyset}} \Gamma(U, \mathcal{O}_U)$. The reverse functor is even easier: simply omit the nonclosed points from the base space.¹

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¹Some authors call a geometrically reduced scheme of finite-type over a field a variety. Despite their similarity, it is important to distinguish such schemes from varieties (in the sense of these notes). For example, if W and W' are subvarieties of a variety, their intersection in the sense of schemes need not be reduced, and so may differ from their intersection in the sense of varieties. For example, if $W = V(\mathfrak{a}) \subset \mathbb{A}^n$ and $W' = V(\mathfrak{a}') \subset \mathbb{A}^{n'}$ with \mathfrak{a} and \mathfrak{a}' radical, then the intersection W and W' in the sense of schemes is $\text{Spec } k[X_1, \dots, X_{n+n'}]/(\mathfrak{a}, \mathfrak{a}')$ while their intersection in the sense of varieties is $\text{Spec } k[X_1, \dots, X_{n+n'}]/\text{rad}(\mathfrak{a}, \mathfrak{a}')$ (and their intersection in the sense of algebraic spaces is $\text{Spm } k[X_1, \dots, X_{n+n'}]/(\mathfrak{a}, \mathfrak{a}')$).

Every aspiring algebraic and (especially) arithmetic geometer needs to learn the basic theory of schemes, and for this I recommend reading Chapters II and III of Hartshorne 1997.