

# Addendum/Erratum for Etale Cohomology, Princeton U. Press, 1980

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Last revised June 16, 2013.

**px, yt, zb** means page x, line y from top, line z from bottom.

**pxiii.** The only reason I assumed all the schemes are locally Noetherian was that I didn't want to keep saying finite presentation etc. for finite type. I can't believe it really matters anywhere. The fibre product schemes on p. 70, line 2, and p80 are not automatically Noetherian. If I remember correctly, Grothendieck's example of naturally arising nonnoetherian rings is the tensor product of two completions of ring. Products of Henselizations are probably only about as Noetherian as completions.

**p6, 18b.** It does not "follow easily". In fact, passing from the affine result to the global result is not easy.

**p7, 1.12.** Cf. Hartshorne, Algebraic Geometry, Ex. 3.7. (Hartshorne's book appeared just before I sent the final version of the manuscript to the publisher, in time only for me to change some of the references in the manuscript.)

**p7, 12b.** Atiyah-Macdonald [1, 2.19]

**p9.** Correct statement of 2.5: Let  $\alpha: A \rightarrow B$  be a flat  $A$ -algebra, and consider  $b \in B$ . If the image of  $b$  in  $B/\alpha^{-1}(\mathfrak{n})$  is not a zero-divisor for any maximal ideal  $\mathfrak{n}$  of  $B$ , then  $B/(b)$  is a flat  $A$ -algebra. This necessitates some changes on p10.

**p16.** In the statement of Theorem 2.16, one needs to assume that  $f$  is dominant in order for the set to be nonempty (Suchandan Pal). For a proof of Theorem 2.16, see also Mumford [2, p57] or (9.11) of my Commutative Algebra notes.

**p31.** In Exercise 3.27, the  $Y$  should be  $T$ .

**p40.** Remark 5.1c should read: ...states that the functor that...

**p42.** Chinberg criticizes the statement of Abhyankar's theorem (first paragraph) as being too global to be true.

**p47, 5t.** Drop the condition: "closed under fiber products". It is not actually needed, and it fails for the small flat site:  $U, V, W$  can all be flat over  $X$  without  $U \times_V W$  being flat over  $X$  (the point is that  $U \rightarrow V$  need not be flat).

**p51, 11b.** Should be (I.2.19).

**p57.** The first line should read  $\mathbf{A} = \mathbf{A}^b$  and  $p = \pi^*$ .

**p67.** In Exercise 2.19, it is not true in general that (b)  $\Rightarrow$  (c). In fact, all the statements in (2.19) should be treated with caution.

Lorenzini has pointed out to me that, contrary to what is asserted, (c') *does imply* (b'), and that this can be proved as follows. Let  $\phi: G \rightarrow G''$  be a morphism of group schemes (not necessarily commutative) flat and locally of finite presentation over a scheme  $S$ , and assume that  $\phi$  is surjective

as a map of sheaves for the flat (fppf) topology on  $S$ . We have to show that the group-scheme kernel  $G'$  of  $\phi$  is flat over  $S$ .

The surjectivity means that, for any  $s' \in G''(U)$  with  $U$  flat and locally of finite presentation over  $S$ , there exists a  $W$  faithfully flat and locally of finite presentation over  $U$  and an  $s \in G(W)$  mapping to  $s'|_W$ . When we apply this remark to the identity morphism  $G'' \rightarrow G''$ , we see that there is a faithfully flat morphism  $f: W \rightarrow G''$  locally of finite presentation and a morphism  $s: W \rightarrow G$  such that  $\phi \circ s = f$ .

There is an isomorphism of  $W$ -schemes

$$(g, w) \mapsto (g \cdot s(w)^{-1}, w): G \times_{G''} W \rightarrow G' \times_S W.$$

Because  $G \times_{G''} W$  is flat over  $G$  and  $G$  is flat over  $S$ ,  $G \times_{G''} W$  is flat over  $S$ . Thus  $G' \times_S W$  is flat over  $S$ . Because  $G' \times_S W \rightarrow G'$  is faithfully flat, this implies that  $G' \rightarrow S$  is flat.

Holger Deppe has pointed out to me that  $(a') \implies (c')$  doesn't hold either, i.e., a flat homomorphism  $f: G \rightarrow G''$  commutative flat group schemes locally of finite type over  $X$  might not give a surjective map of sheaves on  $X_{\text{fl}}$ . Consider, for example, the constant group scheme  $G$  defined by a finite commutative group  $A \neq 1$  and let  $f: G \rightarrow G$  be the zero map; this is flat (even a local isomorphism) but it is not surjective as a map of sheaves. I don't know whether the implication becomes correct when the group schemes are connected.

**p90, 17.** In “As any sheaf on  $(\text{spec } k)_{\text{et}}$  is flabby”, replace  $k$  with  $\bar{k}$  (Tiago J. Fonseca).

**p93.** In the proof of (1.27), we need to show that the two restrictions of  $\gamma$  to  $X' \times_X X'$  are equal, but this can be seen on the stalks.

**p97.** Matthieu Romagny points out to me that “universal monomorphism” is redundant: in a category with fibre products, monomorphisms are automatically universal monomorphisms.

**p98.** From Changlong Zhong: “I think there is a typo in the book *Etale Cohomology*’ page 98, Ch III, Proposition 2.3.  $H^0(U, -)$  should be  $\check{H}^0(U, -)$ .”

**p112.** I define a site to be noetherian if every covering has a finite subcovering, and then say “It is not clear to the author why this is called a Noetherian site rather than a compact site”. As was pointed out to me by Thomas Wyler, the reason is the following: a topological space is said to be noetherian if it has the ascending chain condition on open sets, and one sees easily that a topological space is noetherian if and only if every open subset is quasi-compact (i.e., every open covering of an open subset has a finite subcovering).

**p121.** In Theorem III.4.3 (b) one needs an additional assumption that  $G$  is of finite presentation (i.e., under the omnipresent locally Noetherian assumption, one may need to assume in addition that  $G$  is of finite type). This assumption is present in the cited reference Raynaud [2, XI.3.1 1)]. Also, presumably, in the proof of the same theorem, the reference for part (e) to Raynaud [2, XII.2.3] should be replaced by Raynaud [2, XIII.2.3 (iii)]. (Kestutis Cesnavicius).

**p134.** “ $\underline{\text{Aut}}(Y)$  is the sheaf associated with the presheaf ...” The presheaf is actually a sheaf — there is no need to take the associated sheaf.

**p139, 1t.** The bar over the  $R$  has been omitted.

**p143.** The last term in the display in Step 3 has a spurious \check on the  $X$  (Timo Keller).

**p151.** From Tate 12/4/92: “It was not at all clear to me, especially for schemes as opposed to rings, that a maximal order is locally maximal. And I think the comment on line -7 (after possibly replacing...) is misleading, since (I hope) if  $M_n(A)$  is Azumaya, then  $A$  is also, and so is maximal.”

**p153.** From Tate: The statement “if an Azumaya algebra...” should refer to classes in the Brauer group. For example, there exists a vector bundle  $V$  on  $\mathbb{A}^3 - \{(0, 0, 0)\}$  that doesn't extend, and  $\text{End}(V)$  is then an Azumaya algebra on the same variety that doesn't extend.

**p153.** G. Ofer should be Ofer Gabber.

**p155.** The heading should have a semicolon, not a colon.

**p174.** See Gamst and Hoechsmann, Tohoku 1968, for more on pairings.

**p190, 4b.** Drop the subscript on  $\mathbb{Q}$ .

**p197.** Treating only surfaces makes the theory more concrete, but the theory of vanishing cycles is not essentially more difficult in higher dimensions—probably I should have included the general case.

**p202.** In the diagram at the bottom of the page, the curve  $X'_S$  should not cross itself (think of the picture in 3-dimensions).

**p221, 10b.** Should read:  $X' = X \times_{\text{spec } k} \mathbb{A}_k^d \dots$

**p224.** Corollary 2.8 is incorrect. (Is it O.K. if the Galois group of  $k$  is finitely generated?)

**p227.** Higher direct images with *proper* support is better than ... compact support.

**p229.** Remark 3.3e. For a modern proof of Nagata's theorem, see Lutkebohmert, W., On compactification of schemes, Manuscripta Math. 80 (1993), 95-111.

**p244.** Corollary 5.5 is incorrect. (Cf. 2.8 above.)

**p253.** In the statement of Theorem 7.1,  $i : Z \rightarrow X$  is the inclusion of a *hypersurface* section.

**p253.** Should add the Lefschetz hypersurface-section theorem: Let  $X$  be a projective variety of dimension  $d$  over a separably closed field  $k$ , and let  $Z$  be a hypersurface section of  $X$  such that  $U \stackrel{\text{def}}{=} X \setminus Z$  is smooth; for any locally constant sheaf  $F$  on  $X$  with finite stalks whose torsion is prime to the characteristic of  $k$ ,

$$H^i(X, F) \rightarrow H^i(Z, F)$$

is injective for  $i = d - 1$  and an isomorphism for  $i < d - 1$ .

To prove this, use the exact sequence

$$\dots \rightarrow H_c^i(U, F) \rightarrow H^i(X, F) \rightarrow H^i(Z, F) \rightarrow \dots$$

(III 1.30) noting that  $H_c^i(U, F)$  is dual to  $H^{2d-i}(U, F^\vee(d))$  (VI 11.2), which is zero for  $2d - i > d$ , i.e.,  $i < d$  (VI 7.1).

**p253, 1b.** In fact, it's only proved prime to the characteristic.

**p256, 9t.**  $\Gamma(X_\alpha, \mathcal{O}_{X_\alpha})$  not  $\Gamma(X_\alpha, \mathcal{O}_{X_\alpha})$ .

**p256.** The reader is invited to rewrite Section 8 using derived categories.

**p264.** In Lemma 8.17, assume that  $\phi$  is a quasi-isomorphism.

**p268.** At the top of the page, assume that the torsion in  $\Lambda$  is prime to the characteristic of  $k$ .

**p268, 15b.** Alas,  $\sum H^r(X, \Lambda([\frac{r}{2}]))$  does not become a ring under cup-product. To get a ring, take  $\sum H^{2r}(X, \Lambda([r]))$ .

**p273.** In A4, replace  $y$  and  $Y$  with  $z$  and  $Z$ .

**p274, 13t.** Replace  $c$  with  $c_t$ .

**p275.** The proof of Proposition 10.6 is incorrect, because the map at the bottom of the page is not invertible. A correct proof is rather long.

**p276, 9t.** Replace  $\mathbb{Q}_t$  with  $\mathbb{Q}_\ell$ .

**p292.** Add  $\frac{t^n}{n}$  to the end of the top formula.

**p307.** In the 4th line, it should say  $R^p g(f(I))$  rather than  $R^p g(I)$  (Lucio Guerberoff).

**p311, 13t.** (f) not ( $f$ ).

**p322.** Godement, not Grodement.

**Chapter VI.** Should add the Lefschetz hypersurface-section theorem in the following form: for any hypersurface section  $Z$  of a projective variety  $X$  such that  $U \stackrel{\text{def}}{=} X \setminus Z$  is smooth,  $H^i_l(X) \rightarrow H^i_l(Z)$  is injective if  $i = \dim X - 1$  and an isomorphism if  $i < \dim X - 1$ . To prove this, use the exact sequence

$$\dots \rightarrow H^i_c(U, \mathbb{Q}_l) \rightarrow H^i(X, \mathbb{Q}_l) \rightarrow H^i(Z, \mathbb{Q}_l) \rightarrow \dots$$

(III 1.30) noting that  $H^i_c(U, \mathbb{Q}_l)$  is dual to  $H^{2d-i}(U, \mathbb{Q}_l(d))$ ,  $d = \dim X$ , (VI 11.2), which is zero for  $2d - i > d$ , i.e.,  $i < d$  (VI 7.1).

**dust jacket** On the back of the first printing, replace 1971 with 1980.

**From Yang Cao 14/12/11:**

Page 170. At the end of your proof of Proposition 1.13, you say that “using the fact that  $\pi_! F' \rightarrow \bar{\pi}_* J^\bullet$  is an injective resolution of  $\pi_! F'$ , we get the required diagram.” But this can not prove that  $j_! \pi^* F \rightarrow j_! \pi^* I^\bullet[r+s]$  is an injective resolution of  $j_! \pi^* F$ . So it can not prove that the homotopy class of  $\text{Hom}_{\bar{X}'}(J^\bullet[r], j_! \pi^* I^\bullet[r+s])$  is equal to  $\text{Ext}_{X'}^s(F', \pi^* F) = \text{Ext}_{X'}^s(j_! F', j_! \pi^* F)$ , and the homotopy class of  $\text{Hom}_{\bar{X}'}(\mathbb{Z}, j_! \pi^* I^\bullet[r+s])$  is equal to  $H^{r+s}(\bar{X}', j_! \pi^* F)$ .

Page 242. Line 7 from the top. I think

$$\underline{\text{Ext}}^i_X(j_! j^* \mathbb{Z}, F) \approx j_* \underline{\text{Ext}}^i_U(j^* \mathbb{Z}, j^* F) \approx R^i j_*(j^* F)$$

is wrong. It should be a spectral sequence

$$R^s j_* \underline{\text{Ext}}^i_U(j^* \mathbb{Z}, j^* F) \implies \underline{\text{Ext}}^{i+s}_X(j_! j^* \mathbb{Z}, F),$$

and  $\underline{\text{Ext}}^i_U(j^* \mathbb{Z}, j^* F)$  is  $j^* F$  when  $i = 0$  and 0 when  $i > 0$ . So we get

$$\underline{\text{Ext}}^i_X(j_! j^* \mathbb{Z}, F) \approx R^i j_*(j^* F).$$

**Some typos (Bhupendra Nath Tiwari)**

p16. (Proposition 2.18) ... is exact where  $B^{\otimes r} = B \otimes_A B \otimes_A \dots \otimes_A B$

p177. Proof. where  $\text{tr}: \text{Pic}(X') \rightarrow \text{Pic}(X)$  is ...

p178. Step 3: Proof. Let ... and let  $i: Z \hookrightarrow U$  be the complementary closed immersion.

p232. A morphism  $g: Y \rightarrow X$  is  $n$ -acyclic ... is bijective for  $0 \leq i \leq n$ , not  $0 \leq 1 \leq n$ .

p233. Sublemma 4.7 ... and  $(h'_i: X'_i \rightarrow X')$  is a covering of ...

p250. Proposition 6.5 (b) Let  $Z \xrightarrow{i_1} Y \xrightarrow{i_2} X$  be a smooth  $S$ -triple;

p262. Remark 8.12. ...  $\mathbf{R}h_\star(F \boxtimes G) = C^\bullet(F) \boxtimes C^\bullet(G)$  should be

$$\mathbf{R}h_\star(F \boxtimes G) = h_\star(C^\bullet(F) \boxtimes C^\bullet(G))$$

(seems so by the symmetry of the canonical pairing ... (you gave, just below it))

p268. The first display should be ...  $F \boxtimes^L G$ .

p290. Lemma 13.2, replace “identify map” with “identity map”.